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BEFORE THE ARIZONA CORPORATION COMMISSION 1 **COMMISSIONERS** 

2009 MAR 12 P 2: 50

3 KRISTIN K. MAYES - Chairman **GARY PIERCE** 4

PAUL NEWMAN SANDRA D. KENNEDY 5 **BOB STUMP** 

AZ CORP COMMISSION DÖCKET CONTROL

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7 IN THE MATTER OF:

DAVID W. COLE and SIIRI COLE, husband and wife;

9 HIGHLINE ESTATES, LLC, an Arizona limited 10 liability company;

MUTUAL FINANCIAL SERVICES, LLC (d/b/a 11 MFS Real Estate Division and MFS Investments), 12 an Arizona limited liability company;

DREAM CRAFT, LLC, an Arizona limited liability 13 company; 14

SCOT A. OGLESBY (d/b/a ARIZONA ASSET MANAGEMENT) and LORI ANN OGLESBY. husband and wife; and

RANDY K. WARD (CRD # 4137944) (d/b/a Arizona Asset Management) and SHARON WARD, husband and wife,

Respondents.

DOCKET NO. S-20656A-09-0074

Arizona Comporation Commission DOCKETED

MAR 12 2009

DOCKETED BY

SECOND PROCEDURAL ORDER

BY THE COMMISSION: 20

> On February 19, 2009, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against David W. Cole and Siiri Cole, husband and wife, Highline Estates, LLC ("Highline"), Mutual Financial Services, LLC (d/b/a MFS Real Estate and MFS Investments) ("MFS"), Dream Craft, LLC ("DCL"), Scot A. Oglesby and Lori Ann Oglesby, husband and wife, and Randy K. Ward (CRD #4137944) (d/b/a Arizona Asset Management) and Sharon K. Ward, husband and wife, (collectively "Respondents"), in which the Division alleged multiple violations of the Arizona Securities Act ("Act") in connection with the offer and sale of securities in the form of notes.

1	The Respondents were duly served with copies of the Notice.
2	On March 2, 2009, requests for hearing were filed by the Respondents Scot A. Oglesby and
3	Randy K. Ward.
4	On March 9, 2009, by Procedural Order, a pre-hearing conference was scheduled on
5	April 2, 2009.
6	On March 9, 2009, the Cole Respondents, Highline, MFS and DCL filed a request
7	for hearing.
8	Accordingly, all parties should be apprised of the hearing.
9	IT IS THEREFORE ORDERED that a pre-hearing conference shall be held on April 2,
10	2009, at 11:30 a.m., at the Commission's offices, 1200 West Washington Street, 2 <sup>nd</sup> Floor
11	Commissioners' Conference Room, Phoenix, Arizona.
12	DATED this day of March, 2009.
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15	MARC E. STERN
16	ADMINISTRATIVE LAW JUDGE
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18	Copies of the foregoing mail/delivered this A day of March, 2009 to:
19	Randy K. Ward Matt Neubert, Director
20	3153 West Whitman Drive Securities Division Anthem, AZ 85086 ARIZONA CORPORATION COMMISSION
21	Scot A. Oglesby 1300 West Washington Street Phoenix, AZ 85007
22	515 East Carefree Highway, No. 824 Phoenix, AZ 85085 ARIZONA REPORTING SERVICE, INC.
23	Alan S. Baskin 2200 North Central Avenue, Suite 502 Phoenix, AZ 85004
24	BADE & BASKIN PLC 80 East Rio Salado Parkway, Suite 515
25	Tempe, Arizona 85281-9106 Attorneys for Respondents David Cole,
26	Siiri Cole, Highline Estates, LLC,  Mutual Financial Services, LLC  By: Mutual Financial Services, LLC  Debra Brøyles
27	and Dream Craft, LLC Secretary to Marc E. Stern